IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

A. NAGASAKA et al

Serial No.

Filed: July 14, 2003

For: PERSONAL AUTHENTICATION DEVICE

## <u>INFORMATION DISCLOSURE STATEMENT (IDS)</u> <u>UNDER § 1.97 AND § 1.98</u>

Commissioner for Patents Washington, D.C. 20231

Sir:

## 1. This IDS should be considered:

- (a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;
- (b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);
- (c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).
- 2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

- 3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed.
- 4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.
- 5. No explanation of relevancy is being provided for the document listed in the attached PTO-1449 Form, because it is in the English language and/or is discussed in the present Specification.
- 6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

John R. Matting (1)
Registration No. 30,293
Attorney for Applicants

MATTINGLY, STANGER & MALUR 1800 Diagonal Road, Suite 370 Alexandria, Virginia 22314 (703) 684-1120

Date: July 14, 2003

FORM PTO-1449 (REV. 7-80)		U.S. DEPAI PATENT A	RTMENT OF COMMERND TRADEMARK OFF	RCE ATTY. DOCKET NO.	SERIA	SERIAL NO.			
					APPLICANT				
	LIST	OF DOCUMEN			A. NAGASAKA et a				
(Use several sheets if necessary)				sary)	FILING DATE	GROUI	9		
					July 14, 2003				
. 57/11				U.S. PATE	ENT DOCUMENTS				
* EXAMINER INITIAL	1	DOCUMENT	DATE		NAME	CLASS	SUBCLASS	FILING (If App	G DATE propriate)
	AA								
	AB								
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	Al								
	AJ								
	AK			0					
				FOREIGN PA	TENT DOCUMENTS				
	_	DOCUMENT	DATE		COUNTRY	CLASS	SUBCLASS	TRANS YES	LATION NO
	AL	2000- 83298	3/22/02	Japan					
	АМ								
	AN								
	AO								
	AP								
			OTHER DOCL	JMENTS (Including )	Author, Title, Date, Pertinent	Pages, etc.)			
	AR								
							· · · · · · · · · · · · · · · · · · ·		
	AS								
	AT								
EXAMINER					DATE CONSIDERED				
EXAMINER	t: Initia	l if reference considere applicant.	ed, whether or not cita	tion is in conformance with MP	EP 609; Drawline through citation if not in	conformance and not o	considered. Include	copy of this	s form with

(REV. 7-80) U.S. DEPARTMENT OF COMI PATENT AND TRADEMARK (			RTMENT OF COMMERC AND TRADEMARK OFFIC	FICE ASA-1141						
!	LICT	OF DOCUME	NTC CITED BY	Y APPLICANT	APPLICANT					
,	LIJI	(Use severa	al sheets if neces	Y APPLICAN I	A. NAGASAKA et					
(Use several sheets if necessary)					FILING DATE July 14, 2003	ľ	GROUP			
				U.S. PATEN	T DOCUMENTS				<del></del>	
* EXAMINER INITIAL		DOCUMENT	DATE		NAME	CLA	ASS	SUBCLASS	FILIN (If Apr	G DATE propriate)
	AA									<del>- · _ · </del>
	AB									
	AC									
	AD									
<del></del>	AE									
	AF		-							
	AG									
	АН									
	Al									
	AJ									
	AK			1.2			1			
				FOREIGN PATE	ENT DOCUMENTS					
		DOCUMENT	DATE		COUNTRY	CLA	ss	SUBCLASS	TRANS YES	NO NO
	AL	2000- 83298	3/22/02	Japan						
	AM									
	AN									
	AO					_				
	AP									
<del></del>			OTHER DOCU	JMENTS (Including Au	thor, Title, Date, Pertinen	t Pages, et	tc.)			
	AR									
	AS	10.10								
	АТ									
XAMINER				15	ATE CONSIDERED					
EXAMINER out communication	: Initial on to a	if reference considere pplicant.	d, whether or not cita	tion is in conformance with MPEP	609; Draw line through citation if not in	n conformance a	and not co	nsidered. Include o	opy of this	form with